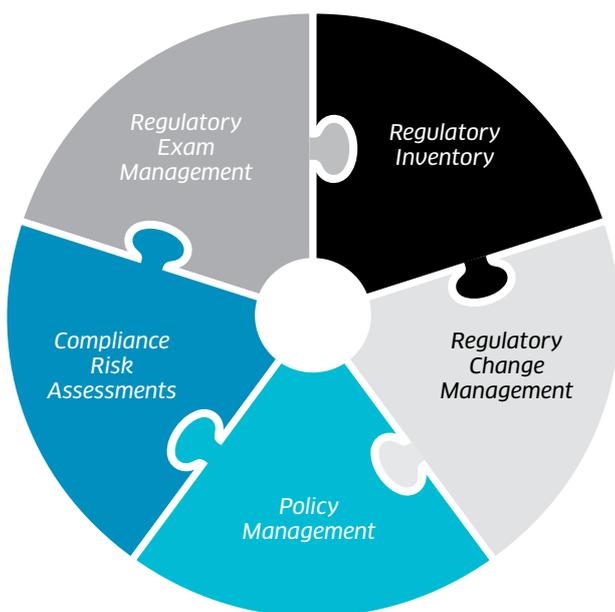




Regulatory Compliance

SAI Global's integrated suite of compliance solutions – powered by BWISE technology – designed to optimize your regulatory compliance program.



Modular – Streamline your compliance program at your own pace to ensure quick ROI

Pre-configured based on best-practice – For cost effective and shorter implementation

Adaptable – can be further configured to support your specific processes

Benefits

- Gain confidence in your coverage of ever-changing laws and regulations
- Automate your regulatory compliance process and reduce duplication of effort
- Reduce the headache of regulatory exams and easily prove compliance
- Increase transparency of the compliance department's work to support expenditure on resources

Whether you are looking to automate one particular process or want to bring your end-to-end compliance program to the next level, our solutions and experts are here to help.

- **Regulatory Inventory:** Browse through all your subscribed regulatory sources in one location. When used together with other components of the compliance suite, this will allow you to link applicable provisions with relevant risks, impacted business areas, and internal policies
- **Compliance Risk Assessments:** Perform risk-based assessments and analyze mitigating factors to conclude on residual risk levels and manage remediation actions
- **Policy Management:** Efficiently create, communicate, and maintain policies and procedures, as well as distribute policy attestation campaigns
- **Regulatory Change Management:** Leverage augmented regulatory content and intuitive workflow management to alleviate the burden of compliance, enable board and regulator assurance, and ensure you never miss a regulatory update
- **Regulatory Exam Management:** Manage and monitor regulatory exams from initiation to finding management and remediation

- Automated calculation of residual risk based on inherent risk levels and control scores. Automatically calculated values can be overridden to take into account professional judgment.
- Augmented regulatory change notifications including an interpretation by legal subject matter experts in plain language, addressing proposed rules, concept releases, guidance and enforcement notices as well as links to the source regulation for related citations and regulatory obligations.
- Drive accountability in the compliance process with automated notifications, tasks, action plans and reminders.
- Real-time dashboards and reports to facilitate communication with the board and show your team's impact on the company's success.
- Automated workflows for streamlined exam management and consistent follow up on findings and change notifications.
- Streamlined regulatory exam management including mapping of regulator requests providing visibility into the organization's readiness for an exam.

Features

- All compliance-related information is located in a central repository including regulations, rules, requirements, risks, and controls.
- Standardized templates and analytics to assess and mitigate regulatory risks.

Contact Us

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